

Investment universe of Private Bank Bellerive Ltd

In order to select the most suitable financial instrument for the client, Private Bank Bellerive Ltd (hereinafter referred to as «PBB») considers direct investments and financial instruments from carefully selected third-party providers from an investment universe defined by PBB itself. PBB itself does not offer its own products. PBB may nevertheless instruct third-party providers to prepare individual investment solutions for the client (e.g. structured products) and use these when managing the assets. The issuer of such financial instruments is always another financial services provider. Products from our majority shareholder, Graubündner Kantonalbank, may also be used in individual cases. PBB's investment universe essentially comprises the following traditional and non-traditional financial instruments as well as derivative financial instruments based on these and combinations thereof:

- A) Precious metals, currencies, money market and capital market instruments in the form of securitised and non-securitised securities and book-entry securities (equities, bonds, notes, certificates, uncertificated securities and money market claims, etc.) and derivative financial instruments based on these, listed and unlisted investment instruments
- B) Investment funds, i.e. contractual investment funds, open-ended collective investment schemes in the form of investment companies with variable capital (SICAV), collective investment schemes in the form of investment companies with fixed capital (SICAF) and other collective investment schemes and fund-like investment instruments. "Funds" and related financial instruments in the present sense include, in particular, collective investment schemes, funds of funds, exchange-traded funds, institutional funds, hedge funds, funds of hedge funds, unit trusts, limited partnerships, private equity, commodity funds and real estate funds
- C) Structured products such as capital protection, yield optimisation, participation and leverage products
- D) Financial derivatives contracts where the price is derived from assets (underlyings) such as equities, bonds, commodities or precious metals or benchmarks such as currencies, interest rates and indices
- E) Financial instruments to hedge risks such as price, currency or interest rate risk
- F) Fiduciary investments and currencies in the name of the bank but for the account and risk of the client

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